Customer Guide

Agreement for High Security Locks

European Fire and Security Group
About EFSG

EFSG establishes a common approach to conformity assessment in the form of mutual recognition agreements to support manufacturers that need to obtain multiple certification marks to gain access to European and worldwide markets.

Specialising in the fields of fire and security EFSG provides a network of highly experienced certifiers delivering quality certification services that focus on the needs of specifiers seeking reliable products and equipment manufacturers that must bring their products to market quickly.

The certifier members of EFSG with their well-respected certification marks work together with the associated test laboratories to help their clients obtain multiple certification with minimal duplication and cost.

EFSG has facilitated the creation of mutual recognition agreements for safes and strong rooms, high security locks, components of intrusion alarm systems and fire detection and fire alarm systems.

EFSG members of the MRA for High Security Locks

**France**
CNPP Cert
- Safes and strong rooms
- High security locks
- Intrusion alarm components

**Germany**
VdT Schadenverhütung
- Safes and strong rooms
- High security locks
- Intrusion alarm components
- Fire detection and Fire alarm system components

**Sweden**
Svensk Brand- och Säkerhetscertifiering AB (SBSC)
- Safes and strong rooms
- High security locks

Access to the mutual recognition agreements and further details about the EFSG is provided on our website: [www.efsg.org](http://www.efsg.org).
**Benefits of working with an EFSG member certification body**

Underpinning the mutual recognition agreements are extensive inter-laboratory comparison exercises, witness testing and rigorous surveillance audits that provides the confidence for the EFSG members to accept testing results from the associated testing laboratories.

Specialists from the EFSG membership openly exchange technical expertise and work with the major standardisation organisations to establish common interpretations and test methodology for the test standards. This work helps to improve the quality and consistency of testing which in turn, leads to better product conformity.

Assured by the comprehensive verification undertaken by EFSG, specifiers, end users, regulatory authorities widely accept the certificates issued by EFSG members as evidence of compliance with the appropriate standards. Products bearing the certification marks of EFSG members are universally recognised by users as being of high quality.

Some markets have additional requirements to which products must comply. EFSG through its network of members can help ease customers into these markets by ensuring the additional requirements are included within the certification process and managed by the certification body of choice. Thereby removing the need for further compliance testing and duplication that would otherwise be required.

Product certificates issued by members of EFSG provide confidence in the use of the products and the companies that place them on the market.
Customer Guide

Mutual Recognition Agreement (MRA) for High Security Locks

About this guide

This guide is for those seeking multiple certification of products using the mutual recognition agreement published by EFSG covering high security locks according to EN 1300, and according to EN 17646. Its purpose is to inform Applicants (normally manufacturers of high security locks for resistance to burglary) about the process associated with multiple certification and explain what further testing (if any) is necessary.

Multiple certification can be obtained via any EFSG member that is signatory to the EFSG MRA applicable to the product type.

EFSG mutual recognition agreements (MRA) are in place for each of the areas where the EFSG is active.

Terms and definitions

A2P Certification mark of CNPP Cert. for products of fire protection, intruder alarm systems and physical security

Applicant A legal entity requesting multiple certification (normally a product manufacturer)

ATL Associated Test Laboratory; A testing laboratory nominated by an EFSG member and that is signatory to a Mutual Recognition Agreement

pATL Primary Associated Test Laboratory; The pATL is mandated by the pCB to perform the necessary tests.

CB Certification Body which is member of EFSG

pCB Primary Certification Body; The pCB is the certification body (CB) to which an applicant has first applied for mutual certification.

CNPP French Certification Body and Test Laboratory; Member of EFSG

EFSG European Fire and Security Group

FS-XXX-EFSG Form sheet number; the respective form sheets are available as downloads on the EFSG website (www.efsg.org)

MRA Mutual recognition agreement; The documented voluntary acceptance of test and audit results between ATLs and CB EFSG members in the respective fields of activities

SBSC Svensk Brand- och Säkerhetscertifiering; Swedish Certification Body member of EFSG

VdS VdS Schadenverhütung GmbH; German Certification Body and Test Laboratory; Member of EFSG
**EFSG mutual recognition agreement high security locks**

This agreement specifies the conditions for the mutual recognition of test and audit results used for the certification of high security locks (HSL) and Distributed Systems (DS) for the purposes of granting permission to use the certification marks of the certification body signatories. The individual certification body takes the full responsibility for the certification of conformity, including the acceptance of the tests and assessment results. Therefore, testing and certification under the umbrella of EFSG is performed only where a signed EFSG MRA exists.

The content of the MRA is primarily intended to assist the certification body member of EFSG and their associated testing laboratory but copies can be viewed publicly and freely downloaded from www.efsg.org.

The EFSG MRA High Security Locks covers the mutual recognition of test results based upon the EN 1300 and EN 17646 requirements. The test result can be used in support of multiple certification.

To obtain certification via the members of EFSG, compliance with additional requirements is also necessary especially for HSL and DS. The additional requirements exist to address the risks faced by the different prescribers.

Details of the additional requirements can be found as follows:

**CNPP Certification:**
- A2P H0 General reference document for A2P mark
- A2P H71 Special reference document for A2P mark

**VdS Certification:**
- VdS 2344 VdS guidelines: Procedure for the testing, approval and certification of products and systems for fire protection and security technologies

**SBSC Certification:** Certification Rules for Product Certification

For special applications of high security locks that are not covered by the high security locks defined in the standards, it is up to the individual certification bodies to decide whether to take into account work carried out by other EFSG certification bodies.

**Important** - The acceptance of test results relies on the defined processes being followed. Applicants are advised that deviations from the defined process can lead to the refusal to accept results and subsequently repeat testing.
How to apply for multiple certification

To apply for multiple certification using the EFSG process the Applicant shall initiate the following steps:

1. The Applicant shall choose a certification body and the associated test laboratory (ATL) to perform the part of the testing program covered by the MRA by completing form no. FS-145-EFSG ‘Multiple certification procedure enquiry form’, (available from www.efsg.org).

   The chosen certification body (referred to as the primary certification body [pCB]) will inform the Applicant about the details of the process and the need to approach the other CBs at the beginning of the project.

   A list of EFSG member certification bodies and their associated testing laboratory together with their testing capabilities is available in Appendix A of this guide.

2. The Applicant needs to apply to only those certification bodies where their certification marks are required.

   To be sure of a smooth process it is essential for the Applicant to first declare an intention to apply for multiple certification when first contacting the certification body. This can be done by declaring the intention on the enquiry form (FS-145-EFSG).

   If the customer requires multiple certification but no intention has been declared prior to the start of the testing program it may be necessary to include additional tests or to repeat tests in order to satisfy all the requirements of each certification body involved. In this case, the reasons for the additional tests shall be justified in writing to the Applicant and the other certification bodies involved in the process shall be informed.

   Although the testing and certification process is managed by the primary certification body, the International rules governing certification requires that a formal contract must exist between each certification provider and the Applicant. There may also be specific rules associated with the use of each of the certification marks.

   Application forms can be obtained from the following certification bodies:

   I  www.cnpp.com
   II www.sbsc.se
   III www.vds.de
The process to support multiple certification

Taking the technical documentation and the test sample(s) as a basis, the laboratory and the primary certification body proceeds as follows:

**Situation 1:** The applicant asks for certification to all certification bodies before testing.

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**Notes:**

1. **NOTE:** If the test report shows that the product does not meet the requirements of the expected class and the applicant proposes modification to improve it, then new tests can be carried out.

2. **NOTE:** If the certification rules or national requirements asks for other requirements than EN standard, additional tests can be carried out.

3. **NOTE:** Test program shall be shared with other involved CB/ATL when variants are submitted.

4. **NOTE** Test program shall be shared with other involved CB/ATL when variants are submitted.
**Situation 2:** The applicant asks for certification to other certification bodies after having been awarded a certificate from a certification body (pCB).

1. Application for certification at all CBs involved
2. Exchange of Information between the CBs
3. CBn analyse if application is in line with initial certificate of the pCB
4. Application OK
5. CBn analyse if additional tests are necessary
6. Add. tests necessary?
7. Tests at ATL resp. pATL
8. CBn analyse test report
9. Tests OK?
10. CBn issue Certificate
11. End of Situation 2

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**Application contains:**
- FS 145-EFSG
- Definition of product
- Information which lab was the pATL
- Authorization for each CB/ATL involved to exchange information on testings/results/certifications
- Application form as required by each involved CB

**Technical documentation, drawings of product, test report and certificate provided by pCB to CBn**

**NOTE:**
- If the test report shows that the product does not meet the requirements of the expected class and the applicant proposes modification to improve it, then an exchange of extra information between the involved CBs shall be required.
- If the certification rules or national requirements asks for other requirements than EN standard, additional tests can be carried out.
- Information about test program can be shared with other involved CB/ATL when variants are submitted.
- A negative test result of manipulation and destructive test may affect the already issued certificate of the pCB.
- All the certificates shall end at the same date and contain the same product models.
Situation 3: The applicant asks for prolongation of certificates.

Note:
It is very important for traceability and to have good overview of the situation that a lock has exactly the same design and specification for all CBs. Therefore, if a modification is valid for one certification body only, it shall not be possible to find it on the certificates issued in the frame of EFSG Agreement. A separate certificate must be issued and the locks shall have a different ‘name’ or reference.

Note 1: PCB evaluation is made in relation with the pATL having performed the initial test (paper work, design assessment or retesting). If retesting, test program shall be shared with other involved CB/ATL.

Note 2: The evaluation report can be issued either by PCB or by the pATL having performed the initial test.

Note 3: If the analysis shows that the product does not meet the requirements of the expected class and the applicant proposes modification to improve it, then an exchange of extra information between the involved CBs shall be required (see flowchart for modification – annex B-2).
Situation 4: The applicant asks for modification of products.

Note:
It is very important for traceability and to have good overview of the situation that a lock has exactly the same design and specification for all CBs. Therefore, if a modification is valid for one certification body only, it shall not be possible to find it on the certificates issued in the frame of EFSG Agreement. A separate certificate must be issued and the locks shall have a different 'name' or reference.
Upon the successful completion of the testing the primary certification body finalises the certification process and issues a product certificate. The product certificate, the approved technical documentation and the test report can also be shared by the pCB with the other certification bodies for acceptance in accordance with the EFSG mutual recognition agreement to support multiple certification. In this case the pCB will inform the Applicant.

If the Applicant applies for the Harmonized Audit Procedure, it will be performed as described in the following chapter.

**The harmonized audit procedure**

EFSG operates a harmonized audit procedure to reduce the burden for the Applicant that is associated with multiple inspections of the manufacturing plant(s).

The Audits will be carried out according to the accreditation rules described in ISO/IEC 17065.

The first audit is performed by the primary certification body (pCB) within 6 months of the Applicant’s request. Successive audits will be performed by one of the involved certification bodies on a one-year rotation basis (i.e. January to December). The normal frequency of the audit is once per year; further audits might be necessary by the same certification body depending on the audit results, e.g. to address non-conformities.

In order to be able to perform the audit for each product, a file of drawings approved by the appropriate certification bodies shall be kept at the manufacturing plant.

Normally the manufacturer will be notified of an audit in advance, but the certification body reserves the right to perform an audit unannounced.

The Audit Report and related records will be provided in English language.

To benefit from the harmonized audit procedure, the Applicant shall respect the following conditions:

- The quality management system operated by the manufacturing site(s) and which must be related to the scope of the EFSG agreement, is in accordance with ISO 9001.
  
  The quality management system must be registered with a certification body accredited by an organisation recognised by the EA\(^2\) and having signed the EA’s Multilateral Agreement (MLA).

- At least one of the Applicant’s products has been (or will be) certified after testing according to the mutual recognition test procedure stated in the MRA Safes and Strongrooms and the test sample was produced exclusively in the factory which will benefit from the harmonized audit procedure.

The harmonized audit procedure can be applied if the requirements above are fulfilled.

\(^2\) The European co-operation for Accreditation or EA is an association of national accreditation bodies in Europe that are officially recognised by their national Governments to assess and verify—against international standards—organisations that carry out evaluation services such as certification, verification, inspection, testing and calibration (also known as conformity assessment services).
The following three case scenarios may occur for the performance of the initial audit:

**First case**

The applicant already holds product certification by several Certification Bodies and wants to benefit from the common audit procedure without increasing the number of certification marks on his products. In such a case, an initial audit is not required and the CB is chosen by the applicant.

**Second case**

The applicant already holds product certification by one or several Certification Bodies and wants to benefit from the common audit procedure and by the same way wants to increase the number of certification marks on his products. In such a case, an initial audit is not required and the CB is chosen by the applicant amongst the Certification Body(-ies) having already approved the applicant. The chosen CB will transfer the relevant information regarding the applicant to other CB(s).

**Third case**

The applicant holds no product certification by any of the Certification Bodies and wants to get certification directly by several certification bodies and benefit from the common audit procedure by the same way. In such a case the CB who will conduct an initial audit (before certifying the product) is the Certification Body whom the applicant has asked for the first type test.

**How to apply for the harmonized audit procedure**

The Applicant shall make a formal request to each certification body with whom a certificate is held (or will be held) using form no. FS-102-EFSG available from www.efsg.org or the pCB.

The Applicant must also agree to the signatories of the agreement exchanging the necessary information about the audit. The harmonized audits may incorporate other products covered by the scope of the MRA High Security Locks but certified outside the MRA as may exist in the first and second case scenarios above.

**Validity of the harmonized audit**

The harmonized audit shall be valid for:

- Products which are certified by the certification bodies within the framework of the EFSG MRA High Security Locks.
- Other products covered by the scope of the EFSG MRA High Security Locks but certified outside this MRA by any of the signatories to the agreement.
Invoicing

EFSG is an association of legally independent testing and certification bodies. In accordance with European competition law EFSG members are unable to share pricing information with each other. Each testing laboratory and certification body therefore issues the applicant with separate invoices for the services they perform. (e.g. ATL will invoice the testing, pCB will invoice the certificate from pCB, each CB will invoice the certificate from CB(n), audit service will invoice the audit and annual fees will be requested when applicable).

The applicant can obtain the costs for the services from the respective testing and certification bodies on request to each.
Complaints and appeals

Complaints from users

Complaints from users or authorities relating to certified products, systems or services received by the EFSG shall be immediately referred to the appropriate member certification body(ies) for attention under their specific complaints procedure.

Complaints from customers

Complaints from customers relating to EFSG procedures shall be immediately referred to the Board of Management for attention under the EFSG complaints procedure.

Contact with the Board of Management can be made by writing to the secretary at the address given below.

Appeals

Where a customer wishes to challenge a decision taken by an EFSG member certification body or an associated test laboratory, an appeal must be registered in the first instance with the EFSG member in accordance with the specific appeals procedure of that member.

It is expected that the appeal will be addressed within one month of receipt and resolved within the shortest time.

European Fire and Security Group
The Secretary
c/o CNPP Cert.
Route de la Chapelle Réanville
F-27950 Saint-Marcel, FRANCE
## Appendix A – Certification bodies and their associated testing laboratories

Member certification bodies and the testing capabilities of the associated testing laboratories

<table>
<thead>
<tr>
<th>Certification bodies and their associated testing laboratories</th>
<th>Certification bodies</th>
</tr>
</thead>
<tbody>
<tr>
<td>Associates Testing laboratories</td>
<td>CNPP</td>
</tr>
<tr>
<td>CNPP Entreprise</td>
<td></td>
</tr>
<tr>
<td>EN 1300</td>
<td>-- None --</td>
</tr>
<tr>
<td>EN 17646</td>
<td>-- None --</td>
</tr>
<tr>
<td>VdS Schaden-verhütung</td>
<td></td>
</tr>
<tr>
<td>EN 1300</td>
<td>-- None --</td>
</tr>
<tr>
<td>EN 17646</td>
<td>-- None --</td>
</tr>
</tbody>
</table>

Remarks / Limitations to tests:
-  ●: Tested
-  ○: Not tested

Certification bodies:
-  CNPP
-  VdS
-  SBS
### Appendix B – National guidelines deadlines for using EN 1300 versions

<table>
<thead>
<tr>
<th></th>
<th>Case 1</th>
<th>Case 2</th>
<th>Case 3</th>
<th>Case 4</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Intention</strong></td>
<td>Applicant wants a modification to an existing approval</td>
<td>Applicant wants a modification or prolongation of an existing approval according to current guidelines/standards. Alternatively, test and approval (initial certification)</td>
<td>Applicant had developed an electronic lock still according to EN 1300:2013</td>
<td>Applicant has already developed an electronic lock according to EN 1300:2023</td>
</tr>
<tr>
<td><strong>Formal application</strong></td>
<td>Applicant orders a modification to an existing approval (with testing) according to VdS 2396:2023-11 or T71-2:2023 and EN 1300:2013</td>
<td>Applicant orders a modification to an existing approval (with testing) according to VdS 2396:2023-11 or T71-2:2023 and EN 1300:2023 ⇒ Verification of the fulfillment of the requirements and if necessary, retests</td>
<td>Applicant orders testing and approval according to VdS 2396:2023-11 or T71-2:2023 and EN 1300:2013(*)</td>
<td>Applicant orders testing and approval according to VdS 2396:2023-11 or T71-2:2023 and EN 1300:2023</td>
</tr>
<tr>
<td><strong>End of duration</strong></td>
<td>Upto: 30.06.2026</td>
<td>Beyond 30.06.2026</td>
<td>Upto: 30.06.2026</td>
<td>Beyond 30.06.2026</td>
</tr>
<tr>
<td><strong>Valid for</strong></td>
<td>All kinds of HSL (mechanical key locks, mechanical code locks, and electronic locks)</td>
<td>Mechanical key locks, and mechanical code locks. For electronic locks, see Case 4</td>
<td>Electronic locks</td>
<td>Electronic locks</td>
</tr>
<tr>
<td><strong>HSL for Distributed system</strong></td>
<td>Not applicable</td>
<td>Not applicable, see Case 4</td>
<td>Testing of the HSL as a part of the distributed system according to EN 1300:2013</td>
<td>Testing of the HSL as a part of the distributed system according to EN 1300:2023</td>
</tr>
</tbody>
</table>

**Note** (*) An application submitted after 30.06.2024 will be handled as in Case 4
## Appendix C – National guidelines deadlines for using EN 17646 versions

<table>
<thead>
<tr>
<th>Case 1</th>
<th>Case 2</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Intention</strong></td>
<td><strong>Intention</strong></td>
</tr>
<tr>
<td>Applicant had developed a distributed system still according</td>
<td>Applicant has already developed a distributed system according</td>
</tr>
<tr>
<td>to EN 1300:2013 (for the electronic lock) and the distributed system</td>
<td>to EN 1300:2023 (for the electronic lock) and the distributed system</td>
</tr>
<tr>
<td>according to EN 17646:2022</td>
<td>according to EN 17646:2022</td>
</tr>
<tr>
<td><strong>Formal application</strong></td>
<td><strong>Formal application</strong></td>
</tr>
<tr>
<td>Applicant orders testing and approval according to VdS 3841:2022-10</td>
<td>Applicant orders testing and approval according to VdS 3841:2022-10</td>
</tr>
<tr>
<td><strong>Basic of approval</strong></td>
<td><strong>Basic of approval</strong></td>
</tr>
<tr>
<td>EN 1300:2013</td>
<td>EN 1300:2023</td>
</tr>
<tr>
<td><strong>End of duration</strong></td>
<td><strong>End of duration</strong></td>
</tr>
<tr>
<td>Upto: 30.06.2026</td>
<td>Beyond 30.06.2026</td>
</tr>
<tr>
<td><strong>Valid for</strong></td>
<td><strong>Valid for</strong></td>
</tr>
<tr>
<td>Distributed Systems (DS)</td>
<td>Distributed Systems (DS)</td>
</tr>
<tr>
<td><strong>HSL for Distributed system</strong></td>
<td><strong>HSL for Distributed system</strong></td>
</tr>
<tr>
<td>Testing of the HSL as a part of the distributed system according to EN</td>
<td>Testing of the HSL as a part of the distributed system according to EN</td>
</tr>
<tr>
<td>1300:2013</td>
<td>1300:2023</td>
</tr>
</tbody>
</table>

*Note (*) An application submitted after 30.06.2024 will be handled as in Case 2*